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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2815 Examiner: Matthew Landau

Inventor:

Ricky Amos

Serial No.

09/995,031

Filed: Nov. 29, 2001

TC 2800 MAIL ROOM

For:

High Temperature Processing Compatible Metal Gate

Electrode for FET's and Method for Fabrication

Attorney Docket No.: 64,610-062

(YOR920010633US1))

Certificate of Mailing

I hereby certify that this paper (along with any referred to as being attached of enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop-Appeal, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: July 9, 2003

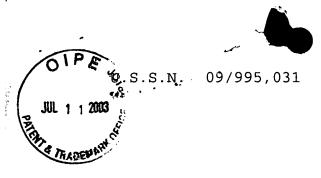
DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(d) (1) and (2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In further satisfaction of our Duty of Candor, pursuant to 37 C.F.R. §1.97, the art listed and identified on the attached Form PTO-1449 is being submitted herewith for consideration by the Examiner. The possible relevance of the listed references lies in the fact that they were cited in a PCT International A copy of U.S. Patent Nos. 6,238,933B1 and Search Report. 6,512,297B2 are attached herewith. U.S. Patent Nos. 6,040,610, 6,403,997 and US2001/0032995A1 were previously cited by the The Commissioner is authorized to charge any fees Examiner. which may be required to Deposit Account No. 50-0510.

It is Applicant's opinion that the claims presently on file patentably distinguish the present invention from each of these



references. The above references are being cited in the interests of candor and without admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

Respectfully submitted,

TUNG & ASSOCIATES

Ву

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RECEIVED

JUL 15 2003

TC 2800 MAIL ROOM

FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION **DISCLOSURE STATEMENT** 

(Use several sheets if necessary)

ATTY DOCKET NO.

SERIAL NO.

64,610-062

(YOR920010633US1)

09/995,031

**APPLICANT** 

Ricky Amos

FILING DATE Nov. 29, 2001 **GROUP** 2815

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS** 

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,238,933B1	05/2001	Sun et al			
	AB	6,512,297B2	01/2003	Matsuno et al			
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### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
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## **EXAMINER**

## **DATE CONSIDERED**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.